



PATENT

Attorney Docket No.: 43630.00039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on

Date: 1/22/02

By: Sandy Yi
Sandy Yi

COPY OF PAPERS
ORIGINALLY FILED

In re Application of:	
Mason Ng, et al.	Examiner: Unknown
Serial No.: 09/528,363	Art Unit: 2756
Filed: March 17, 2000	
Title: SYSTEM AND METHOD FOR AUTOMATICALLY FORWARDING EMAIL AND EMAIL EVENTS VIA A COMPUTER NETWORK TO A SERVER COMPUTER	

Commissioner for Patents
Washington, D.C. 20231

*RECEIVED
MAR 05 2002
Technology Center 2100*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97(b)**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each reference cited is submitted herewith.

The present Information Disclosure Statement is being filed more than three months after the filing date but before receiving the first Office Action. Accordingly, no fee or certification is needed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

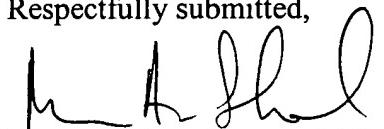
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: January 22, 2002

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,



Marc A. Sockol
Attorney for Applicant
Reg. No. 40,823

Please type a plus sign (+) inside this box

FEB 23 2002

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2152

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

40

Application Number

09/528,363

Filing Date

March 17, 2000

First Named Inventor

Mason Ng

Group Art Unit

2756

Examiner Name

Unknown

Attorney Docket Number

43630.00039

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1 Reference
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pages)	<input type="checkbox"/> Request for Refund	Return Receipt Postcard
<input checked="" type="checkbox"/> PTO Form 1449 (1 page) (original plus one)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	RECEIVED
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		MAR 05 2002

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Marc A. Sockol, Reg. No. 40,823 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043
Signature	
Date	January 22, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 22, 2002

Typed or printed name

Sandy Yi

Signature

Date

January 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002		<i>IP</i> FEB 22 2002 <i>JSC</i> <i>Patent fees are subject to annual revision.</i>	
TOTAL AMOUNT OF PAYMENT		(\$)	

METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)																																																																																																																																																																																																																																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 05-0150 Deposit Account Name Squire, Sanders & Dempsey, L.L.P.						3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td colspan="3">Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td colspan="3">Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td colspan="3">Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td colspan="3">For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td colspan="3">Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td colspan="3">Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td colspan="3">Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td colspan="3">Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td colspan="3">Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td colspan="3">Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td colspan="3">Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td colspan="3">Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td colspan="3">Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td colspan="3">Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td colspan="3">Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td colspan="3">Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td colspan="3">Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td colspan="3">Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td colspan="3">Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td colspan="3">Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td colspan="3">Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td colspan="3">Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td colspan="3">Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td colspan="3">Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td colspan="3">Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td colspan="3">For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td colspan="3">Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td colspan="3">Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6">Other fee (specify) _____</td> <td colspan="6"></td> </tr> <tr> <td colspan="6">*Reduced by Basic Filing Fee Paid</td> <td colspan="6">SUBTOTAL (3) (\$0)</td> </tr> <tr> <td colspan="12">**or number previously paid, if greater; For Reissues, see above</td> </tr> </tbody> </table>						Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid	105	130	205	Surcharge - late filing fee or oath				127	50	227	Surcharge - late provisional filing fee or cover sheet.				139	130	139	Non-English specification				147	2,520	147	For filing a request for reexamination				112	920*	112	Requesting publication of SIR prior to Examiner action				113	1,840*	113	Requesting publication of SIR after Examiner action				115	110	215	Extension for reply within first month				116	400	216	Extension for reply within second month				117	920	217	Extension for reply within third month				118	1,440	218	Extension for reply within fourth month				128	1,960	228	Extension for reply within fifth month				119	320	219	Notice of Appeal				120	320	220	Filing a brief in support of an appeal				121	280	221	Request for oral hearing				138	1,510	138	Petition to institute a public use proceeding				140	110	240	Petition to revive – unavoidable				141	1,280	241	Petition to revive – unintentional				142	1,280	242	Utility issue fee (or reissue)				143	460	243	Design issue fee				144	620	244	Plant issue fee				122	130	122	Petitions to the Commissioner				123	50	123	Processing fee under 37 CFR 1.17 (q)				126	180	126	Submission of Information Disclosure Stmt				581	40	581	Recording each patent assignment per property (times number of properties)				146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))				149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))				179	740	279	Request for Continued Examination (RCE)				169	900	169	Request for expedited examination of a design application				Other fee (specify) _____												*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$0)						**or number previously paid, if greater; For Reissues, see above											
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid																																																																																																																																																																																																																																																				
105	130	205	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																							
127	50	227	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																																							
139	130	139	Non-English specification																																																																																																																																																																																																																																																							
147	2,520	147	For filing a request for reexamination																																																																																																																																																																																																																																																							
112	920*	112	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																							
113	1,840*	113	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																							
115	110	215	Extension for reply within first month																																																																																																																																																																																																																																																							
116	400	216	Extension for reply within second month																																																																																																																																																																																																																																																							
117	920	217	Extension for reply within third month																																																																																																																																																																																																																																																							
118	1,440	218	Extension for reply within fourth month																																																																																																																																																																																																																																																							
128	1,960	228	Extension for reply within fifth month																																																																																																																																																																																																																																																							
119	320	219	Notice of Appeal																																																																																																																																																																																																																																																							
120	320	220	Filing a brief in support of an appeal																																																																																																																																																																																																																																																							
121	280	221	Request for oral hearing																																																																																																																																																																																																																																																							
138	1,510	138	Petition to institute a public use proceeding																																																																																																																																																																																																																																																							
140	110	240	Petition to revive – unavoidable																																																																																																																																																																																																																																																							
141	1,280	241	Petition to revive – unintentional																																																																																																																																																																																																																																																							
142	1,280	242	Utility issue fee (or reissue)																																																																																																																																																																																																																																																							
143	460	243	Design issue fee																																																																																																																																																																																																																																																							
144	620	244	Plant issue fee																																																																																																																																																																																																																																																							
122	130	122	Petitions to the Commissioner																																																																																																																																																																																																																																																							
123	50	123	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																																																																																							
126	180	126	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																							
581	40	581	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																							
146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																							
149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																							
179	740	279	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																							
169	900	169	Request for expedited examination of a design application																																																																																																																																																																																																																																																							
Other fee (specify) _____																																																																																																																																																																																																																																																										
*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$0)																																																																																																																																																																																																																																																				
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																																																																										

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Marc A. Sockol	Registration No. Attorney/Agent)	40,823	Telephone	650.856.6500		
Signature	<i>Marc A. Sockol</i>			Date	January 22, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY OF PAPERS
ORIGINALLY FILED